

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Section #

Applicant: Klaus Florian Schuegraf et al.

Title: SELECTIVE SPACER TECHNOLOGY TO PREVENT METAL OXIDE FORMATION DURING
POLYCID REOXIDATION

Docket No.: 303.278US1

Filed: July 30, 1997

Examiner:

Serial No.: 08/902,809

Due Date: February 8, 1998

Group Art Unit: 2503

Attn: Box Missing Parts
Assistant Commissioner for Patents
Washington, D.C. 20231

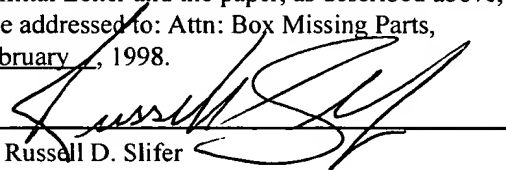
We are transmitting herewith the attached:

- ☒ An Assignment of the invention to Micron Technology, Inc. (3 pgs.) and Recordation Form Cover Sheet (1 pg.).
- ☒ Petition To File Application By Other Than All Inventors (2 pgs.) along with the \$130.00 filing fee.
- ☒ A signed Combined Declaration and Power of Attorney (3 pgs.).
- ☒ Affidavit of Susan A. Johnson (2 pgs.).
- ☒ A check in the amount of \$130.00 to cover the Missing Parts Fee.
- ☒ A check in the amount of \$1,326.00 to cover the Basic Filing Fee and Additional Claims Fee.
- ☒ A check in the amount of \$40.00 to cover the Assignment Recording Fee.
- ☒ Form PTO-1533 (1pg.)
- ☒ Communication Re: Missing Parts (1 pg.)
- ☒ A return postcard.
- ☒ Other: Exhibit A and Exhibit B (2 pgs.)

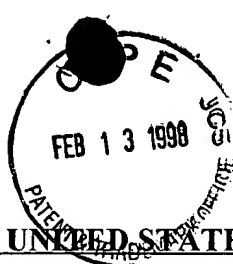
Please charge any additional required fees or credit overpayment to Deposit Account No. 19-0743.

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this Transmittal Letter and the paper, as described above, are being deposited in the United States Postal Service, as first class mail, in an envelope addressed to: Attn: Box Missing Parts, Assistant Commissioner for Patents, Washington, D.C. 20231, on this 9th day of February, 1998.

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.
P.O. Box 2938, Minneapolis, MN 55402 (612-373-6900)

By: 
Name: Russell D. Slifer
Reg. No. 39,838
RDS:CMG:dmw

S/N 08/902,809



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Klaus Florian Schuegraf et al. Examiner:
Serial No.: 08/902,809 Group Art Unit: 2503
Filed: July 30, 1997 Docket: 303.278US1
Title: SELECTIVE SPACER TECHNOLOGY TO PREVENT METAL OXIDE
FORMATION DURING POLYCID REOXIDATION

COMMUNICATION RE: MISSING PARTS

Box Missing Parts
Assistant Commissioner for Patents
Washington, D.C. 20231

In response to the "Notice to File Missing Parts" (see enclosed copy), we submit the signed Combined Declaration and Power of Attorney along with a check in the amount of \$130.00 to cover the large entity surcharge, a check in the amount of \$1,326.00 to cover the large entity basic filing fee, a Petition To File Application By Other Than All Inventors and the \$130.00 filing fee, an Affidavit of Susan A. Johnson, and Exhibit A and B.

Applicants assume the application is now in proper order and in condition for examination. Please direct any inquiries to the undersigned attorney at (612) 373-6965.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to Assistant Commissioner for Patents, Washington, D.C. 20231, on 2-9-98
(Date of Deposit)

Diane Wimperis
Diane Wimperis

Date 2-9-98

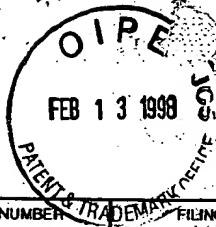
Respectfully submitted,

KLAUS FLORIAN SCHUEGRAF ET AL.

By their Representatives,

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.
P.O. Box 2938
Minneapolis, MN 55402
(612) 373-6965

By Russell D. Slifer
Russell D. Slifer
Reg. No. 39,838
RDS:CMG:dmw



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
Address: COMMISSIONER, PATENTS AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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08/902,809 07/30/97 SCHUEGRAF K 303.278US1

0232/1208

SCHUEGMAN LUNDBERG WOESSNER & KLUTH
P.O. BOX 2938
MINNEAPOLIS MN 55402

NOT ASSIGNED

DATE MAILED: 2503

12/08/97

NOTICE TO FILE MISSING PARTS OF APPLICATION Filing Date Granted

An Application Number and Filing Date have been assigned to this application. However, the items indicated below are missing. The required items and fees identified below must be timely submitted ALONG WITH THE PAYMENT OF A SURCHARGE for items 1 and 3-6 only of \$ 130 for a ☒ large entity ☐ small entity in compliance with 37 CFR 1.27. The surcharge is set forth in 37 CFR 1.16(e). Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required above to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☒ large entity ☐ small entity (verified statement filed), is \$ 1436

☒ 1. The statutory basic filing fee is:

- ☒ missing.
☐ insufficient.

Applicant must submit \$ 790 to complete the basic filing fee and/or file a verified small entity statement claiming such status (37 CFR 1.27).

☐ 2. Additional claim fees of \$ 536, including any multiple dependent claim fees, are required. Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☐ 3. The oath or declaration:

- ☐ is missing.
☐ does not cover the newly submitted items.
☐ does not identify the application to which it applies.
☐ does not include the city and state or foreign country of applicant's residence.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☒ 4. The signature(s) to the oath or declaration is/are:

- ☒ missing.
☐ by a person other than inventor or person qualified under 37 CFR 1.42, 1.43, or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$ processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application does not comply with the Sequence Rules.

See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."

☐ 9. OTHER:

Direct the response and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice MUST be returned with the response.

Customer Service Center
Initial Patent Examination Division (703) 308-1202

02/26/1998 BBRANCH 00000009 08902801
01 FC:101
02 FC:102
03 FC:103
04 FC:105